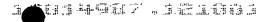
3139.22US01

## MERCHANT & GOULD P.C.

COPY OF PAPERIE ORIGINALLY FILED

## **United States Patent Application**

COMBINED DECLARATION AND POWER OF ATTORNEY inventor I hereby declare that: my residence, post office address and citizenship are as stated below next to my name; that I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: LOW VOLTAGE **MEM SWITCH** The specification of which a. 
is attached hereto b.  $\overline{\boxtimes}$  was filed on December 10, 2001 as application serial no. 10/014,987 and was amended on (if applicable) (in the case of a PCTfiled application) described and claimed in international no. filed and as amended on (if any), which I have reviewed and for which I solicit a United States patent. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I hereby claim foreign priority benefits under Title 35, United States Code, § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on the basis of which priority is claimed: a. \times no such applications have been filed. b. such applications have been filed as follows: FOREIGN APPLICATION(S), IF ANY, CLAIMING PRIORITY UNDER 35 USC § 119 COUNTRY APPLICATION NUMBER DATE OF FILING DATE OF ISSUE (day, month, year) (day, month, year) ALL FOREIGN APPLICATION(S), IF ANY, FILED BEFORE THE PRIORITY APPLICATION(S) COUNTRY APPLICATION NUMBER DATE OF FILING DATE OF ISSUE (day, month, year) (day, month, year) I hereby claim the benefit under Title 35, United States Code, § 120/365 of any United States and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application. U.S. APPLICATION NUMBER DATE OF FILING (day, month, year) STATUS (patented, pending, abandoned) I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below: U.S. PROVISIONAL APPLICATION NUMBER DATE OF FILING (Day, Month, Year)



I acknowledge the duty to disclose information that is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56 (reprinted below):

# § 1.56 Duty to disclose information material to patentability.

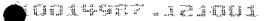
- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
  - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
- the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and
  - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim;

or

- (2) It refutes, or is inconsistent with, a position the applicant takes in:
  - (i) Opposing an argument of unpatentability relied on by the Office, or
  - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application:
  - (2) Each attorney or agent who prepares or prosecutes the application; and
- Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.
- (e) In any continuation-in-part application, the duty under this section includes the duty to disclose to the Office all information known to the person to be material to patentability, as defined in paragraph (b) of this section, which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.





I hereby appoint the following attorney(s) and/or patent agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

•			D 37 44 040
Albrecht, John W.	Reg. No. 40,481	Leonard, Christopher J.	Reg. No. 41,940
Ali, M. Jeffer	Reg. No. 46,359	Liepa, Mara E.	Reg. No. 40,066
Altera, Allan G.	Reg. No. 40,274	Lindquist, Timothy A.	Reg. No. 40,701
Anderson, Gregg I.	Reg. No. 28,828	Lown, Jean A.	Reg. No. 48,428
Batzli, Brian H.	Reg. No. 32,960	Mayfield, Denise L.	Reg. No. 33,732
Beard, John L.	Reg. No. 27,612	McDonald, Daniel W.	Reg. No. 32,044
Berns, John M.	Reg. No. 43,496	McIntyre, Jr., William F.	Reg. No. 44,921
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Clifford, John A.	Reg. No. 30,247	Pino, Mark J.	Reg. No. 43,858
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Edell, Robert T.	Reg. No. 20,187	Schuman, Mark D.	Reg. No. 31,197
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Glance, Robert J.	Reg. No. 40,620	Scull, Timothy B.	Reg. No. 42,137
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Goggin, Matthew J.	Reg. No. 44,125	Skoog, Mark T.	Reg. No. 40,178
Golla, Charles E.	Reg. No. 26,896	Spellman, Steven J.	Reg. No. 45,124
Gorman, Alan G.	Reg. No. 38,472	Stewart, Alan R.	Reg. No. 47,974
Gould, John D.	Reg. No. 18,223	Stoll-DeBell, Kirstin L.	Reg. No. 43,164
Gregson, Richard	Reg. No. 41,804	Sullivan, Timothy	Reg. No. 47,981
Gresens, John J.	Reg. No. 33,112	Sumner, John P.	Reg. No. 29,114
Hamer, Samuel A.	Reg. No. 46,754	Swenson, Erik G.	Reg. No. 45,147
Hamre, Curtis B.	Reg. No. 29,165	Tellekson, David K.	Reg. No. 32,314
Harrison, Kevin C.	Reg. No. 46,759	Trembath, Jon R.	Reg. No. 38,344
Hertzberg, Brett A.	Reg. No. 42,660	Tunheim, Marcia A.	Reg. No. 42,189
Hillson, Randall A.	Reg. No. 31,838	Underhill, Albert L.	Reg. No. 27,403
Holzer, Jr., Richard J.	Reg. No. 42,668	Vandenburgh, J. Derek	Reg. No. 32,179
	Reg. No. 44,774	Wahl, John R.	Reg. No. 33,044
Hope, Leonard J.	Reg. No. P-48,835	Weaver, Paul L.	Reg. No. 48,640
Jardine, John S.	•	Welter, Paul A.	Reg. No. 20,890
Johns, Nicholas P.	Reg. No. 48,995	Whipps, Brian	Reg. No. 43,261
Johnston, Scott W.	Reg. No. 39,721		Reg. No. 42,222
Kadievitch, Natalie D.	Reg. No. 34,196	Whitaker, John E.	
Kaseburg, Frederick A.	Reg. No. 47,695	Wier, David D.	Reg. No. P-48,229
Kettelberger, Denise	Reg. No. 33,924	Williams, Douglas J.	Reg. No. 27,054
Keys, Jeramie J.	Reg. No. 42,724	Withers, James D.	Reg. No. 40,376
Knearl, Homer L.	Reg. No. 21,197	Witt, Jonelle	Reg. No. 41,980
Kowalchyk, Alan W.	Reg. No. 31,535	Wong, Thomas S.	Reg. No. 48,577
Kowalchyk, Katherine M.	Reg. No. 36,848	Wu, Tong	Reg. No. 43,361
Lacy, Paul E.	Reg. No. 38,946	Young, Thomas	Reg. No. 25,796
Larson, James A.	Reg. No. 40,443	Zeulı, Anthony R.	Reg. No. 45,255

I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct Merchant & Gould P.C. to the contrary.

I understand that the execution of this document, and the grant of a power of attorney, does not in itself establish an attorney-client relationship between the undersigned and the law firm Merchant & Gould P.C., or any of its attorneys.



Please direct all correspondence in this case to Merchant & Gould P.C. at the address indicated below:

Merchant & Gould P.C. P.O. Box 2903 Minneapolis, MN 55402-0903



I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

2	Full Name Family Name Of Inventor HUANG		First Given Name GUANGHUA	Second Given Name	
0	Residence & Citizenship	City PRIOR LAKE	State or Foreign Country MINNESOTA	Country of Citizenship PEOPLE'S REPUBLIC OF CHINA	
1	Mailing Address	Address 17350 HORIZON TRAIL	City PRIOR LAKE	State & Zip Code/Country MINNESOTA 55372/USA	
Signature of Inventor 201:  Date: 2-27-02					

## SMALL BUSINESS

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 C.F.R. 1.9(f)) - SMALL BUSINESS CONCERN

the owner of the small business concern identified below:

an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN:

HEI, Inc.

ADDRESS OF CONCERN:

1495 Steiger Lake Lane Victoria, Minnesota 55386

ORIGINALLY FILED

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. 121.801-805, and reproduced in 37 C.F.R. 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled LOW VOLTAGE MEM SWITCH by inventor(s) Guanghua Huang described in

a)	provisional app	on filed herewith.  Dication serial no, filed  Il application serial no. 10/014,987, fi  issued	led December 10, 2001.	<i>5</i> 2			
rights to the inve	ention is listed be dependent invent	entified small business concern are no elow* and no rights to the invention a or under 37 C.F.R. 1.9(c) or by any co rofit organization under 37 C.F.R. 1.9	re held by any person, o oncern which would not	dual, concern or organization having ther than the inventor, who could not qualify as a small business concern			
NAME:							
ADDRESS:	0.0517.7	b)  small business concern	NONDROE	IT ORGANIZATION			
a) 🔲 IND	IVIDUAL	b) [ ] SMALL BUSINESS CONCERN	c) [ NONPROF	II ORGANIZATION			
NAME:							
ADDRESS:							
a) 🔲 IND	IVIDUAL	b) SMALL BUSINESS CONCERN	c) L NONPROF	IT ORGANIZATION			
entity status pric	or to paying, or a	this application or patent, notification the time of paying, the earliest of the terrappropriate. (37 C.F.R. 1.27(g)(2)	e issue fee or any mainte	s resulting in loss of entitlement to small enance fee due after the date on which			
are believed to be made are punish	be true; and furth able by fine or i may jeopardize	er that these statements were made w	ith the knowledge that w 001 of Title 18 of the U	tements made on information and belief willful false statements and the like so nited States Code, and that such willful any patent to which this verified			
NAME:							
TITLE:							
ADDRESS:	HEI, Inc., 1495 Steiger Lake Lane, Victoria, Minnesota 55386						
SIGNATURE:	Mel	Total Codice 1	Date:	2.27.02			

